

Audit and Annual Governance Statement Action Plans Summary Analysis

Audit Report & Date	Total Actions			Actions Completed (subject to Follow up audit)			Actions Completed/ Superseded (no further Follow-up required or confirmed by follow up audit)			Actions Currently in Progress			No of Completion Extensions Required to Date (All Actions)		
	H	M	L	H	M	L	H	M	L	H	M	L	H	M	L
Payroll – Key Controls and New System Benefits (Apr 2018)	-	2	2	-	-	-	-	2	2	-	-	-	-	-	-
Risk Management (Apr 2018)	-	3	7	-	3		-	-	7	-	-	-	-	-	1
Follow up – Fleet Management & Stock and Inventory (Jun 2018)	-	1	1	-	1	-	-	-	1	-	-	-	-	-	-
Use of Risk Information (Sep 2018)	-	1	2	-	1	-	-	-	2	-	-	-	-	-	-
Key Financial Controls (Jan 2019)	-	-	1	-	-	-	-	-	1	-	-	-	-	-	-
Risk Management (Feb 2019)	-	4	4	-	4	-	-	-	4	-	-	-	-	-	4
Governance (Apr 2019)	-	1	4	-	1	-	-	-	4	-	-	-	-	-	-
Follow up (Apr 2019)	-	2	5	-	2	-	-	-	5	-	-	-		1	1
Stock Control (Apr 2019)	-	4	-	-	3	-	-	-	-	-	1	-	-	1	-
Operational Business Continuity (Sep 2019)	1	2	2	-	1	-	1	1	2	-	-	-	-	-	-
Property – Statutory Compliance (Aug 2019)	1	3	1	1	1	-	-	1	1	-	1	-	-	-	-
ICT Cyber Security (Nov 2019)	4	10	3	-	-	-	2	9	3	2	1	-	2	1	-
Follow up Part 1 – Governance, Use of Risk Information and Stock Control (Jan 2020)	-	-	2	-	-	1	-	-	1	-	-	-	-	-	-
Key Financial Controls (Feb 2020)	-	1	2	-	1	-	-	-	2	-	-	-	-	-	-
Follow up Part 2 – Operational Business Continuity and Property – Statutory Compliance (Feb 2020)	2	5	-	1	3	-	1	-	-	-	2	-	-	1	-
Community Risk Management Plan (Apr 2020)	-	-	1	-	-	-	-	-	1	-	-	-	-	-	-

APPENDIX A

Audit Report & Date	Total Actions			Actions Completed (subject to Follow up audit)			Actions Completed/ Superseded (no further Follow-up required or confirmed by follow up audit)			Actions Currently in Progress			No of Completion Extensions Required to Date (All Actions)			
	H	M	L	H	M	L	H	M	L	H	M	L	H	M	L	
Asset Management – Asset Tracking (Apr 2020)	-	3	-	-	-	-	-	2	-	-	1	-	-	-	-	
Mobilising System Project (March 2020)	-	1	1	-	-	-	-	1	1	-	-	-	-	-	-	
Environmental Review (scheduled March)	-	1	2	-	-	-	-	-	-	-	1	2	-	-	-	
Internal Audit Plan 2020/21																
ICT Cyber Security (May 2020) Fieldwork started																
Totals	8	44	40	2	21	1	4	16	37	2	7	2	2	4	6	

Annual Governance Statement Action Plan from 2018/19 to be completed in 2019/20				
Year	Total Actions	Actions Completed	Actions in Progress	No of Completion Extensions Required to Date (All Actions)
2018/19	2	1	1	1